

# Homework 8

## Geometry

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**Proposition 0.1** (Exercise 9-19). *Let  $M$  be  $\mathbb{R}^3$  with the  $z$ -axis removed. Define smooth vector fields  $V$  and  $W$  on  $M$  by*

$$V = \frac{\partial}{\partial x} - \frac{y}{x^2 + y^2} \frac{\partial}{\partial z} \quad W = \frac{\partial}{\partial y} + \frac{x}{x^2 + y^2} \frac{\partial}{\partial z}$$

*and let  $\theta$  be the flow of  $V$  and  $\psi$  be the flow of  $W$ . Then  $V, W$  commute, but there exist  $p \in M$  and  $s, t \in \mathbb{R}$  so that  $\theta_t \circ \psi_s(p)$  and  $\psi_s \circ \theta_t(p)$  are both defined but are not equal.*

*Proof.* First we show that  $V, W$  commute by showing that  $[V, W] = 0$ .

$$\begin{aligned} [V, W] &= \left[ \frac{\partial}{\partial x} - \frac{y}{x^2 + y^2} \frac{\partial}{\partial z}, \frac{\partial}{\partial y} + \frac{x}{x^2 + y^2} \frac{\partial}{\partial z} \right] \\ &= \left[ \frac{\partial}{\partial x}, \frac{\partial}{\partial y} \right] + \left[ \frac{\partial}{\partial x}, \frac{x}{x^2 + y^2} \frac{\partial}{\partial z} \right] - \left[ \frac{y}{x^2 + y^2} \frac{\partial}{\partial z}, \frac{\partial}{\partial y} \right] - \left[ \frac{y}{x^2 + y^2} \frac{\partial}{\partial z}, \frac{x}{x^2 + y^2} \frac{\partial}{\partial z} \right] \\ &= 0 + \left( \frac{\partial}{\partial x} \frac{x}{x^2 + y^2} \right) \frac{\partial}{\partial z} + \left( \frac{\partial}{\partial y} \frac{y}{x^2 + y^2} \right) \frac{\partial}{\partial z} + 0 \\ &= \frac{y^2 - x^2}{(x^2 + y^2)^2} \frac{\partial}{\partial z} + \frac{x^2 - y^2}{(x^2 + y^2)^2} \frac{\partial}{\partial z} \\ &= 0 \end{aligned}$$

Thus  $V$  and  $W$  commute. Now we let  $p = (1, 0, 0)$  and  $s = t = 1$  and compute  $\theta_t \circ \psi_s(p)$  and  $\psi_s \circ \theta_t(p)$ . We begin by computing  $\theta_1(p)$ . An integral curve  $\gamma(t) = (x(t), y(t), z(t))$  of  $V$  through  $p$  satisfies the the system of differential equations

$$\begin{aligned} \dot{x} &= 1 \\ \dot{y} &= 0 \\ \dot{z} &= \frac{-y}{x^2 + y^2} \end{aligned}$$

with initial condition  $(x, y, z)(0) = (1, 0, 0)$ . The solution is given by

$$\begin{aligned} x(t) &= t + 1 \\ y(t) &= 0 \\ z(t) &= 0 \end{aligned}$$

thus  $\theta_1(1, 0, 0) = (2, 0, 0)$ . Now we compute  $\psi_1(p)$ . This involves solving the system

$$\begin{aligned}\dot{u} &= 0 \\ \dot{v} &= 1 \\ \dot{w} &= \frac{u}{u^2 + v^2}\end{aligned}$$

We can immediately see that  $u(t) = 1$  and  $v(t) = t$ , which means that we have

$$w(t) = \int \frac{1}{1+t^2} dt = \arctan(t) + C$$

Given the initial condition  $w(0) = 0$ , we have  $C = 0$ . Thus the solution to the IVP is

$$\begin{aligned}u(t) &= 1 \\ v(t) &= t \\ w(t) &= \arctan(t)\end{aligned}$$

Thus  $\psi_1(p) = (1, 1, \arctan(1)) = (1, 1, \frac{\pi}{4})$ . Now we compute  $\psi_1 \circ \theta_1(p)$ . We solve the same system in  $u, v, w$  as above, now with the different initial condition  $(u, v, w)(0) = (2, 0, 0)$ . The solution is

$$\begin{aligned}u(t) &= 2 \\ v(t) &= t + 1 \\ w(t) &= \arctan\left(\frac{t+1}{2}\right) - \arctan\left(\frac{1}{2}\right)\end{aligned}$$

thus  $\psi_1 \circ \theta_1(p) = (2, 2, \arctan(1) - \arctan(\frac{1}{2}))$ . Finally, we compute  $\theta_1 \circ \psi_1(p)$ . We solve the previous system in  $x, y, z$  with initial condition  $(x, y, z)(0) = (1, 1, \frac{\pi}{4})$ . We can see immediately that  $y(t) = 1$  and  $x(t) = t + C$ . Using our initial condition,  $x(0) = 1 = C$ . Then we have

$$z(t) = \int \frac{-1}{(t+1)^2 + 1} dt = -\arctan(t+1) + C$$

and using our initial condition  $z(0) = \frac{\pi}{4} = -\arctan(1) + C$  we get  $C = \frac{\pi}{2}$  so

$$\begin{aligned}x(t) &= t + 1 \\ y(t) &= 1 \\ z(t) &= -\arctan(t+1) + \frac{\pi}{2}\end{aligned}$$

Thus  $\theta_1 \circ \psi_1(p) = (2, 1, -\arctan(2) + \frac{\pi}{2})$ . Recall that we computed

$$\psi_1 \circ \theta_1(p) = \left(2, 2, \arctan(1) - \arctan\left(\frac{1}{2}\right)\right)$$

The second entries are obviously not equal (nor are the third entries, though that is less obvious), so our claim is proven.  $\square$

(Exercise 10-7)

Compute the transition function for  $TS^2$  associated with the two local trivializations determined by stereographic coordinates.

*Solution.* We denote the stereographic coordinates by  $\phi = (x, y)$  and  $\psi = (u, v)$ , where  $\phi : S^2 \setminus \{N\} \rightarrow \mathbb{R}^2$  and  $\psi : S^2 \setminus \{S\} \rightarrow \mathbb{R}^2$ , given explicitly by

$$\begin{aligned}(x, y) &= \phi(p) = \phi(p^1, p^2, p^3) = \left( \frac{p^1}{1 - p^3}, \frac{p^2}{1 - p^3} \right) \\ (u, v) &= \psi(p) = \psi(p^1, p^2, p^3) = \left( \frac{p^1}{1 + p^3}, \frac{p^2}{1 + p^3} \right)\end{aligned}$$

For the tangent bundle  $\pi : TS^2 \rightarrow S$  given by  $(p, v) \mapsto p$  these charts give local trivializations

$$\begin{aligned}\Phi : \pi^{-1}(S^2 \setminus \{N\}) &\rightarrow (S^2 \setminus \{N\}) \times \mathbb{R}^2 \\ \Psi : \pi^{-1}(S^2 \setminus \{S\}) &\rightarrow (S^2 \setminus \{S\}) \times \mathbb{R}^2\end{aligned}$$

Explicitly, these are given by

$$\begin{aligned}\Phi \left( w^1 \frac{\partial}{\partial x} \Big|_p + w^2 \frac{\partial}{\partial y} \Big|_p \right) &= (p, (w^1, w^2)) \\ \Psi \left( w^1 \frac{\partial}{\partial u} \Big|_p + w^2 \frac{\partial}{\partial v} \Big|_p \right) &= (p, (w^1, w^2))\end{aligned}$$

The transition function associated with these local trivializations is a map

$$\tau : S^2 \setminus \{N, S\} \rightarrow \text{GL}(2, \mathbb{R})$$

such that

$$\Phi \circ \Psi^{-1}(p, w) = (p, \tau(p)w)$$

where  $w$  is the column vector  $(w^1, w^2)$ . In Exercise 1-7, we computed the transition map between the charts  $\phi$  and  $\psi$  to be

$$(x, y) = \left( \frac{u}{u^2 + v^2}, \frac{v}{u^2 + v^2} \right)$$

and so we can compute all the entries of the Jacobian:

$$\frac{\partial x}{\partial u} = \frac{v^2 - u^2}{(u^2 + v^2)^2} \quad \frac{\partial x}{\partial v} = \frac{-2uv}{(u^2 + v^2)^2} \quad \frac{\partial y}{\partial u} = \frac{-2uv}{(u^2 + v^2)^2} \quad \frac{\partial y}{\partial v} = \frac{u^2 - v^2}{(u^2 + v^2)^2}$$

This allows us to do the following change of coordinates explicitly.

$$\begin{aligned}\frac{\partial}{\partial u} \Big|_p &= \frac{\partial x}{\partial u} \frac{\partial}{\partial x} \Big|_p + \frac{\partial y}{\partial u} \frac{\partial}{\partial y} \Big|_p \\ \frac{\partial}{\partial v} \Big|_p &= \frac{\partial x}{\partial v} \frac{\partial}{\partial x} \Big|_p + \frac{\partial y}{\partial v} \frac{\partial}{\partial y} \Big|_p\end{aligned}$$

Finally, we compute the transition function  $\Phi \circ \Psi^{-1}(p, w)$  to compute  $\tau(p)$ .

$$\begin{aligned}
\Phi \circ \Psi^{-1}(p, w) &= \Phi \left( w^1 \frac{\partial}{\partial u} \Big|_p + w^2 \frac{\partial}{\partial v} \Big|_p \right) \\
&= \Phi \left( w^1 \left( \frac{\partial x}{\partial u} \frac{\partial}{\partial x} \Big|_p + \frac{\partial y}{\partial u} \frac{\partial}{\partial y} \Big|_p \right) + w^2 \left( \frac{\partial x}{\partial v} \frac{\partial}{\partial x} \Big|_p + \frac{\partial y}{\partial v} \frac{\partial}{\partial y} \Big|_p \right) \right) \\
&= \Phi \left( \left( v^1 \frac{\partial x}{\partial u} + v^2 \frac{\partial x}{\partial v} \right) \frac{\partial}{\partial x} \Big|_p + \left( v^1 \frac{\partial y}{\partial u} + v^2 \frac{\partial y}{\partial v} \right) \frac{\partial}{\partial y} \Big|_p \right) \\
&= \left( p, \left( v^1 \frac{\partial x}{\partial u} + v^2 \frac{\partial x}{\partial v}, v^1 \frac{\partial y}{\partial u} + v^2 \frac{\partial y}{\partial v} \right) \right) \\
&= \left( p, \begin{bmatrix} \frac{\partial x}{\partial u} & \frac{\partial x}{\partial v} \\ \frac{\partial y}{\partial u} & \frac{\partial y}{\partial v} \end{bmatrix} \begin{bmatrix} w^1 \\ w^2 \end{bmatrix} \right)
\end{aligned}$$

Thus

$$\tau(p) = \begin{bmatrix} \frac{\partial x}{\partial u} & \frac{\partial x}{\partial v} \\ \frac{\partial y}{\partial u} & \frac{\partial y}{\partial v} \end{bmatrix} = \begin{bmatrix} \frac{v^2 - u^2}{(u^2 + v^2)^2} & \frac{-2uv}{(u^2 + v^2)^2} \\ \frac{-2uv}{(u^2 + v^2)^2} & \frac{u^2 - v^2}{(u^2 + v^2)^2} \end{bmatrix}$$

where  $(u, v)$  is a function of  $p$  as above, so we simplify. We switch to subscripts for indices so that we can use superscripts for exponents. Because  $(p_1, p_2, p_3) \in S^2$ , we have  $p_1^2 + p_2^2 + p_3^2 = 1$  so  $p_1^2 + p_2^2 = 1 - p_3^2 = (1 - p_3)(1 + p_3)$ . In terms of  $p$ , the entries of  $\tau(p)$  are

$$\begin{aligned}
\frac{v^2 - u^2}{(u^2 + v^2)^2} &= \frac{-(p_1^2 - p_2^2)(1 + p_3)^2}{(p_1^2 + p_2^2)^2} = \frac{-(p_1 - p_2)(p_1 + p_2)(1 + p_3)}{(1 - p_3)} \\
\frac{u^2 - v^2}{(u^2 + v^2)^2} &= \frac{(p_1^2 - p_2^2)(1 + p_3)^2}{(p_1^2 + p_2^2)^2} = \frac{(p_1 - p_2)(p_1 + p_2)(1 + p_3)}{(1 - p_3)} \\
\frac{-2uv}{(u^2 + v^2)^2} &= \frac{-2p_1 p_2 (1 + p_3)^2}{(p_1^2 + p_2^2)^2} = \frac{-2p_1 p_2 (1 + p_3)}{(1 - p_3)}
\end{aligned}$$

(Recall that  $\tau$  is a map only on  $S^2 \setminus \{N, S\}$  so we never have  $p_3 = 1$ , so the denominators are never zero.)

**Proposition 0.2** (Exercise 10-12). *Let  $M$  be a smooth manifold with or without boundary and let  $\pi : E \rightarrow M$  and  $\tilde{\pi} : \tilde{E} \rightarrow M$  be two smooth rank- $k$  vector bundles over  $M$ . Suppose that  $\{U_\alpha\}_{\alpha \in A}$  is an open cover of  $M$  such that both  $E$  and  $\tilde{E}$  admit local trivializations over each  $U_\alpha$ . Let  $\{\tau_{\alpha\beta}\}, \{\tilde{\tau}_{\alpha\beta}\}$  denote the transition functions determined by the given local trivializations of  $E$  and  $\tilde{E}$  respectively. Then  $E, \tilde{E}$  are smoothly isomorphic over  $M$  if and only if for each  $\alpha \in A$  there exists a smooth map  $\sigma_\alpha : U_\alpha \rightarrow \text{GL}(k, \mathbb{R})$  such that*

$$\tilde{\tau}_{\alpha\beta}(p) = \sigma_\alpha(p) \tau_{\alpha\beta}(p) \sigma_\beta(p)^{-1}$$

for all  $p \in U_\alpha \cap U_\beta$ .

*Proof.* First suppose that  $E, \tilde{E}$  are smoothly isomorphic over  $M$ . Let  $\alpha \in A$ , and let  $\phi_\alpha, \tilde{\phi}_\alpha$  be local trivializations of  $E, \tilde{E}$  respectively and  $\tau_{\alpha\beta}, \tilde{\tau}_{\alpha\beta}$  be the transition maps. Let  $F : E \rightarrow \tilde{E}$  be a bundle isomorphism. Then we have

$$\pi = \tilde{\pi} \circ F \quad \tilde{\pi} = \pi_{U_\alpha} \circ \tilde{\phi}_\alpha \quad \pi = \pi_{U_\alpha} \circ \phi_\alpha$$

We define  $\psi_\alpha$  by  $\psi_\alpha = \tilde{\phi}_\alpha \circ F$  and claim that  $\psi_\alpha$  is a local trivialization of  $E$  over  $U_\alpha$ . The condition  $\pi_{U_\alpha} \circ \psi_\alpha = \pi$  is satisfied as we have

$$\pi_{U_\alpha} \circ \psi_\alpha = \pi_{U_\alpha} \circ \tilde{\phi}_\alpha \circ F = \tilde{\pi} \circ F = \pi$$

We need to show that the restriction of  $\psi_\alpha$  to  $E_q = \pi^{-1}(q)$  is a vector space isomorphism to  $\{q\} \times \mathbb{R}^k$ . Note that  $\pi^{-1} = F^{-1} \circ \tilde{\pi}^{-1}$ , so

$$\psi_\alpha(E_q) = \tilde{\phi}_\alpha \circ F(E_q) = \tilde{\phi}_\alpha \circ F \circ \pi^{-1}(q) = \tilde{\phi}_\alpha \circ F \circ F^{-1} \circ \tilde{\pi}^{-1}(q) = \tilde{\phi}_\alpha \circ \tilde{\pi}^{-1}(q)$$

Since  $\tilde{\phi}_\alpha$  is a local trivialization of  $\tilde{E}$ , we have what we needed:  $\psi_\alpha(E_q) = \tilde{\phi}_\alpha \circ \tilde{\pi}^{-1}(q)$  is isomorphic to  $\{q\} \times \mathbb{R}^k$ .

Now we have smooth local trivializations  $\phi_\alpha$  and  $\psi_\alpha$  of  $E$  over  $U_\alpha$  for each  $\alpha$ . By Lemma 10.5, there exist smooth maps  $\sigma_\alpha : U_\alpha \rightarrow \text{GL}(k, \mathbb{R})$  and  $\sigma_\beta : U_\beta \rightarrow \text{GL}(k, \mathbb{R})$  such that

$$\begin{aligned} \psi_\alpha \circ \phi_\alpha^{-1}(p, v) &= (p, \sigma_\alpha(p)v) \\ \psi_\beta \circ \phi_\beta^{-1}(p, v) &= (p, \sigma_\beta(p)v) \end{aligned}$$

Now we do a long computation to show that  $\tilde{\tau}_{\alpha\beta}(p) = \sigma_\alpha(p)\tau_{\alpha\beta}(p)\sigma_\beta(p)^{-1}$ .

$$\begin{aligned} (p, \tilde{\tau}_{\alpha\beta}(p)v) &= \tilde{\phi}_\alpha \circ \tilde{\phi}_\beta^{-1}(p, v) \\ &= \tilde{\phi}_\alpha \circ (F \circ F^{-1}) \circ \tilde{\phi}_\beta^{-1}(p, v) \\ &= \tilde{\phi}_\alpha \circ F \circ (\phi_\alpha^{-1} \circ \phi_\alpha) \circ (\phi_\beta^{-1} \circ \phi_\beta) \circ F^{-1} \circ \tilde{\phi}_\beta^{-1}(p, v) \\ &= \psi_\alpha \circ \phi_\alpha^{-1} \circ \phi_\alpha \circ \phi_\beta^{-1} \circ \psi_\beta^{-1}(p, v) \\ &= (\psi_\alpha \circ \phi_\alpha)^{-1} \circ (\phi_\alpha \circ \phi_\beta^{-1}) \circ (\psi_\beta \circ \phi_\beta^{-1})^{-1}(p, v) \\ &= (\psi_\alpha \circ \phi_\alpha)^{-1}(\phi_\alpha \circ \phi_\beta^{-1})(p, \sigma_\beta(p)^{-1}v) \\ &= (\psi_\alpha \circ \phi_\alpha)(p, \tau_{\alpha\beta}(p)\sigma_\beta(p)^{-1}v) \\ &= (p, \sigma_\alpha(p)\tau_{\alpha\beta}(p)\sigma_\beta(p)^{-1}v) \end{aligned}$$

Thus  $\tilde{\tau}_{\alpha\beta}(p) = \sigma_\alpha(p)\tau_{\alpha\beta}(p)\sigma_\beta(p)^{-1}$ . Now we show the other direction of implication. Suppose that for each  $\alpha$ , the required  $\sigma_\alpha$  exists. We must construct a bundle isomorphism  $F : E \rightarrow \tilde{E}$ . First, we define  $f_\alpha : U_\alpha \times \mathbb{R}^k \rightarrow U_\alpha \times \mathbb{R}^k$  by  $f_\alpha(p, v) = \sigma_\alpha(p)v$ . Then we define  $F_\alpha : \pi^{-1}(U_\alpha) \rightarrow \tilde{\pi}^{-1}(U_\alpha)$  by  $F_\alpha = \tilde{\phi}_\alpha^{-1} \circ f_\alpha \circ \phi_\alpha$ . Then we check that  $F_\alpha$  agrees with  $F_\beta$  on the overlap  $U_\alpha \cap U_\beta$ :

$$\begin{aligned} F_\beta &= \tilde{\phi}_\beta^{-1} \circ f_\beta \circ \phi_\beta \\ &= (\tilde{\phi}_\alpha^{-1} \circ \tilde{\phi}_\alpha) \circ \tilde{\phi}_\beta^{-1} \circ f_\beta \circ \phi_\beta \circ (\phi_\alpha^{-1} \circ \phi_\alpha) \\ &= \tilde{\phi}_\alpha^{-1} \circ (\tilde{\phi}_\alpha \circ \tilde{\phi}_\beta^{-1}) \circ f_\beta \circ (\phi_\beta \circ \phi_\alpha^{-1}) \circ \phi_\alpha \end{aligned}$$

Now note that

$$\begin{aligned}(\tilde{\phi}_\alpha \circ \tilde{\phi}_\beta^{-1}) \circ f_\beta \circ (\phi_\beta \circ \phi_\alpha^{-1})(p, v) &= (\tilde{\phi}_\alpha \circ \tilde{\phi}_\beta^{-1}) \circ f_\beta(p, \tilde{\tau}_{\alpha\beta}(p)^{-1}v) \\&= (\tilde{\phi}_\alpha \circ \tilde{\phi}_\beta^{-1})(p, \sigma_\beta \tau_{\alpha\beta}(p)^{-1}v) \\&= (p, \tilde{\tau}_{\alpha\beta}(p) \sigma_\beta(p) \tau_{\alpha\beta}(p)^{-1}v)\end{aligned}$$

By hypothesis,  $\tilde{\tau}_{\alpha\beta}(p) = \sigma_\alpha(p) \tau_{\alpha\beta}(p) \sigma_\beta(p)^{-1}$ , so  $\tilde{\tau}_{\alpha\beta}(p) \sigma_\beta(p) \tau_{\alpha\beta}(p)^{-1} = \sigma_\alpha(p)$ . Thus

$$(\tilde{\phi}_\alpha \circ \tilde{\phi}_\beta^{-1}) \circ f_\beta \circ (\phi_\beta \circ \phi_\alpha^{-1})(p, v) = (p, \sigma_\alpha(p)v) = f_\alpha(p, v)$$

Thus

$$F_\beta = \tilde{\phi}_\alpha^{-1} \circ (\tilde{\phi}_\alpha \circ \tilde{\phi}_\beta^{-1}) \circ f_\beta \circ (\phi_\beta \circ \phi_\alpha^{-1}) \circ \phi_\alpha = \tilde{\phi}_\alpha^{-1} f_\alpha \phi_\alpha = F_\alpha$$

on the overlaps  $\pi^{-1}(U_\alpha) \cap \pi^{-1}(U_\beta)$ . Clearly  $F$  is smooth as a composition of smooth functions. Thus by Corollary 2.8, there is a unique smooth map  $F : E \rightarrow \tilde{E}$  that agrees with  $F_\alpha$  on  $\pi^{-1}(U_\alpha)$ . We claim this map is a bundle isomorphism. First, we show that  $\tilde{\pi} \circ F = \pi$ .

$$\tilde{\pi} \circ F = \tilde{\pi} \circ \tilde{\phi}_\alpha^{-1} \circ f_\alpha \circ \phi_\alpha = \pi_{U_\alpha} \circ f_\alpha \circ \phi_\alpha = \pi_{U_\alpha} \circ \phi_\alpha = \pi$$

Note that  $\pi_{U_\alpha} \circ f_\alpha(p, v) = \pi_{U_\alpha}(p, \sigma_\alpha(p)v) = p = \pi_{U_\alpha}(p, v)$ . To see that  $F|_{E_q}$  is linear, note that

$$F|_{E_q} = \tilde{\phi}_\alpha^{-1} \circ f_\alpha \circ \phi_\alpha|_{E_q}$$

Because they are trivializations,  $\tilde{\phi}_\alpha^{-1}$  and  $\phi_\alpha$  are vector space isomorphisms. By definition  $f_\alpha$  is also a vector space isomorphism, because  $\sigma_\alpha \in \text{GL}(k, \mathbb{R})$ . Finally, we claim that  $F$  is a bijection. Let  $\tilde{E}_q$  be a fiber over  $q$ . Then  $E_q$  is a fiber over  $q$ , so there exists  $q, v \in E$ . Then

$$\tilde{\pi} \circ F(q, v) = \tilde{\pi}(q, Av) = q$$

for some matrix  $A$ . Thus the image of  $F$  includes each fiber  $\tilde{E}_q$ . Since  $F$  is a linear isomorphism on each fiber, this shows that  $F$  is a bijection. Then by Proposition 10.26, this makes  $F$  a bundle isomorphism.  $\square$

**Lemma 0.3** (for Exercise 11-6). *Let  $M$  be a smooth manifold and  $p \in M$  and  $\lambda \in T_p^*M$ . Then there exists a neighborhood  $U$  of  $p$  and a smooth function  $y : U \rightarrow \mathbb{R}$  such that  $dy|_p = \lambda$ .*

*Proof.* Let  $(U, (x^i))$  be a smooth chart with  $p \in U$ . Then let  $(\frac{d}{dx^i}|_p)$  be the usual basis for  $T_p^*M$  and  $dx^i|_p$  be the dual basis for  $T_p^*M$ . Then we can write  $\lambda$  as

$$\lambda = \sum_i \lambda_i dx^i|_p$$

for scalars  $\lambda_i \in \mathbb{R}$ . Define  $y = \sum_i \lambda_i x^i$ . Then

$$dy|_p = d\left(\sum_i \lambda_i x^i\right) = \sum_i \lambda_i dx^i|_p = \lambda$$

$\square$

**Proposition 0.4** (Exercise 11-6). *Let  $M$  be a smooth  $n$ -manifold,  $p \in M$ , and  $y^1, \dots, y^k$  smooth real-valued functions on a neighborhood of  $p$ . Then*

1. *If  $k = n$  and  $(dy^1|_p, \dots, dy^n|_p)$  is a basis for  $T_p^*M$  then  $(y^1, \dots, y^n)$  are smooth coordinates for  $M$  in a neighborhood of  $p$ .*
2. *If  $(dy^1|_p, \dots, dy^k|_p)$  is a linearly independent  $k$ -tuple of covectors and  $k < n$ , then there are smooth functions  $y^{k+1}, \dots, y^n$  such that  $(y^1, \dots, y^n)$  are smooth coordinates for  $M$  in a neighborhood of  $p$ .*
3. *If  $(dy^1|_p, \dots, dy^k|_p)$  span  $T_p^*M$  (this implies  $k > n$ ), there are indices  $i_1, \dots, i_n$  such that  $(y^{i_1}, \dots, y^{i_n})$  are smooth coordinates for  $M$  in a neighborhood of  $p$ .*

*Proof.* First we show (1). Let  $(y^1, \dots, y^n)$  be smooth real-valued functions on a neighborhood  $U$  of  $p$ , such that  $(dy^1|_p, \dots, dy^n|_p)$  is a basis for  $T_p^*M$ . Define  $F : U \rightarrow \mathbb{R}^n$  by  $F(p) = (y^1(p), \dots, y^n(p))$ . We claim that  $dF_p$  is invertible. Let  $(v_1, \dots, v_n)$  be the dual basis to  $(dy^1|_p, \dots, dy^n|_p)$ , so

$$v_i(dy^j|_p) = \delta_{ij}$$

We canonically identify  $(T_p^*M)^*$  with  $T_pM$ , so we can also think of  $v_i$  as a vector in  $T_pM$ . If  $(x^1, \dots, x^n)$  are the standard coordinate functions on  $\mathbb{R}^n$ , then we have

$$dF_p(v_i)(x^j) = v_i(x^j \circ F) = v_i y^j = \delta_{ij}$$

We claim that the kernel of  $dF_p$  is trivial. If  $a^i v_i \in \ker dF_p$ , then

$$0 = dF_p(a^i v_i)(x^j) = \sum_i a^i \delta_{ij} = a^j$$

for all  $j$ , so  $a^i v_i = 0$ . Thus  $dF_p$  has trivial kernel, so it is injective. Since it is a linear map between  $T_pM$  and  $T_p\mathbb{R}^n$ , vector spaces of the same dimension, this implies that it is bijective (and hence invertible). Now by Theorem 4.5 (Inverse Function Theorem for Manifolds), there exists a neighborhood  $V$  of  $p$  such that  $F|_V : V \rightarrow F(V) \subset \mathbb{R}^n$  is a diffeomorphism. Thus  $(y^1, \dots, y^n)$  are smooth local coordinates on  $V$ .

Now we show (2). We have a linearly independent  $k$ -tuple of covectors  $(dy^1|_p, \dots, dy^k|_p)$  in  $T_p^*M$ , so we can extend it to a basis  $(dy^1|_p, \dots, dy^k|_p, \omega^{k+1}, \dots, \omega^n)$ . By the above lemma, there exist smooth functions  $y^{k+1}, \dots, y^n$  defined on a neighborhood of  $p$  such that  $dy^{k+1}|_p = \omega^{k+1}, \dots, dy^n|_p = \omega^n$ . Then by part (1),  $(y^1, \dots, y^n)$  are smooth coordinates for  $M$  in a neighborhood of  $p$ .

Now we show (3). We have  $(dy^1|_p, \dots, dy^k|_p)$  spanning  $T_p^*M$ . As every spanning set of a vector space contains a basis, there exist indices  $i_1, \dots, i_n$  such that  $(dy^{i_1}|_p, \dots, dy^{i_n}|_p)$  is a basis for  $T_p^*M$ . Then by part (1),  $(y^{i_1}, \dots, y^{i_n})$  are smooth coordinates for  $M$  in a neighborhood of  $p$ .  $\square$

**Proposition 0.5** (Exercise 11-7a). *Let  $F : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be the map  $F(x, y) = (xy, e^y) = (u, v)$  and let  $\omega_{(x,y)} = x dy - y dx$ . Then*

$$F^*\omega = (x-1)ye^x dx - xe^x dy$$

*Proof.*

$$\begin{aligned}
F^*\omega &= (u \circ F)d(v \circ F) + (-v \circ F)d(u \circ F) = xy d(e^x) - e^x d(xy) \\
&= xye^x dx - e^x(y dx + x dy) = xye^x dx - ye^x dx - xe^x dy \\
&= (x-1)ye^x dx - xe^x dy
\end{aligned}$$

□

**Proposition 0.6** (Exercise 11-10c). *Let  $f : S^2 \rightarrow \mathbb{R}$  be the map  $f(p) = z(p)$ , the  $z$ -coordinate for  $p$  as a point in  $\mathbb{R}^3$ . Let  $(u, v)$  be the stereographic coordinates on  $S^2 \setminus \{N\}$ . Then*

$$df = \frac{4u}{(1+u^2+v^2)^2} du + \frac{4v}{(1+u^2+v^2)^2} dv$$

on  $S^2 \setminus \{N\}$ , and  $df_p = 0$  at only the north and south poles.

*Proof.* We can write  $f$  as

$$f(u, v) = \frac{u^2 + v^2 - 1}{u^2 + v^2 + 1}$$

Then

$$df = \frac{\partial f}{\partial u} du + \frac{\partial f}{\partial v} dv = \frac{4u}{(1+u^2+v^2)^2} du + \frac{4v}{(1+u^2+v^2)^2} dv$$

This is zero precisely when  $(u, v) = (0, 0)$  which is at the south pole. Let  $(s, t)$  be the stereographic coordinates on  $S^2 \setminus \{S\}$ , then we have

$$f(s, t) = \frac{-s^2 - t^2 + 1}{s^2 + t^2 + 1}$$

then

$$df = \frac{-4s}{(1+s^2+t^2)^2} ds + \frac{-4t}{(1+s^2+t^2)^2} dt$$

This agrees with our other computation of  $df$  on  $S^2 \setminus \{N, S\}$  and allows us to compute  $df_N = df_{(s,t)=(0,0)} = 0$ . □

**Proposition 0.7** (Exercise 11-11). *Let  $M$  be a smooth  $n$ -manifold and  $C \subset M$  an embedded  $k$ -dimensional submanifold. Let  $f \in C^\infty(M)$  and suppose that  $p \in C$  is a point at which  $f$  attains a local maximum or minimum value among points in  $C$ . Let  $\phi : U \rightarrow \mathbb{R}^k$  be a smooth local defining function for  $C$  on a neighborhood  $U$  of  $p$  in  $M$ . Then there are real numbers  $\lambda_1, \dots, \lambda_k$  such that*

$$df_p = \lambda_1 d\phi^1|_p + \dots + \lambda_k d\phi^k|_p$$

*Proof.* By Theorem 5.8, there is a smooth chart  $(V, \psi)$  for  $M$  with  $p \in V$  such that  $V \cap C$  is a single  $k$ -slice in  $U$ . We may choose  $V \subset U$  by taking the portion of  $V$  contained in  $U$  if necessary. Let  $\hat{f} = f \circ \psi^{-1}$  be the coordinate representation of  $f$ , and define  $\hat{V} = \psi(V)$  and  $\hat{\phi} = \phi \circ \psi^{-1}$  and  $\hat{p} = \psi(p)$ . Note that then  $\hat{p}$  is a local extrema for  $\hat{f}$ .

Because  $\phi$  is a defining function for  $C$ , it is constant on  $C$ , so  $\hat{\phi}$  is constant on  $\hat{V}$ . If  $\phi^1, \dots, \phi^k$  are the component functions of  $\phi$ , then we have functions  $\hat{\phi}^i : \hat{V} \rightarrow \mathbb{R}$ , all of which



are constant functions as  $\widehat{\phi}$  is constant on  $\widehat{V}$ . Let  $c_i = \widehat{\phi}^i(x)$ . So  $\widehat{p}$  is a local extrema of  $\widehat{f}$  subject to the constraints

$$\widehat{\phi}^i(x) - c_i = 0$$

Because  $\widehat{\phi}$  is a submersion, the Jacobian is invertible. Thus by the method of Lagrange multipliers on  $\mathbb{R}^n$ , we know that there are real constants  $\lambda_1, \dots, \lambda_k$  so that

$$d\widehat{f}_{\widehat{p}} = \lambda_j d\widehat{\phi}^j|_{\widehat{p}}$$

By the chain rule, we have

$$\begin{aligned} d\widehat{f}_{\widehat{p}} &= d(f \circ \psi^{-1})_{\widehat{p}} = df_p \circ d\psi_{\widehat{p}}^{-1} \\ d\widehat{\phi}^j &= d(\phi^j \circ \psi^{-1})_{\widehat{p}} = d\phi_p^j \circ d\psi_{\widehat{p}}^{-1} \end{aligned}$$

so then

$$df_p \circ d\psi_{\widehat{p}}^{-1} = \lambda_j (d\phi_p^j \circ d\psi_{\widehat{p}}^{-1}) \implies df_p = \lambda_j d\phi_p^j \circ d\psi_{\widehat{p}}^{-1} \circ d\psi_{\widehat{p}} = \lambda_j d\phi_p^j$$

which was what we set out to show. □